



Attorney's Docket No.: 74451.P041X1D

Patent

In re the Application of: Alexander E. Keith, et al.

(inventor(s))

Application No.: 09/236,753

Filed: January 25, 1999

For: COMPRESSION AND DECOMPRESSION WITH WAVELET STYLE AND BINARY STYLE INCLUDING QUANTIZATION BY DEVICE-DEPENDENT PARSER  
(title)

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ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

APR 16 2003

Technology Center 2600

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.  
 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.  
 No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	
	Claims Remaining After Amd.	Highest No. Previously Paid For	Present Extra	
Total Claims	*	Minus	**	
<b>First Presentation of Multiple Dependent Claim(s)</b>				

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 0
X84	\$ 0
+280	\$ 0
Total Add. Fee	\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on Apr. 17, 2003  
Date of Deposit

Angela M. Quinn

Name of Person Mailing Correspondence

Angela M. Quinn

4-7-03

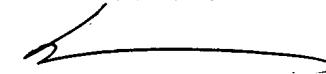
Signature

Date

A check in the amount of \$\_\_\_\_\_ is attached for presentation of additional claim(s).  
 Applicant(s) hereby Petition(s) for an Extension of Time of 1 (one) month(s) pursuant to 37 C.F.R. § 1.136(a).  
 A check for \$ 110.00 is attached for processing fees under 37 C.F.R. § 1.17.  
Please charge my Deposit Account No. 02-2666 the amount of \$\_\_\_\_\_.  
**A duplicate copy of this sheet is enclosed.**  
 The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (**a duplicate copy of this sheet is enclosed**):  
 Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.  
 Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 4/7/03

  
Michael J. Mallie

Reg. No. 36,591

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8300



## FEE TRANSMITTAL FOR FY 2003

**TOTAL AMOUNT OF PAYMENT (\$)** 110.00

Complete if Known:

Application No. 09/236,753

Filing Date 1/25/99

First Named Inventor Alexander E. Keith

Group Art Unit 2621

Examiner Name Phuoc Tran

Attorney Docket No. 74451.P041X1D

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Technology Center 2600

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Money Order  
 Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
1001	750	2001	375
1002	330	2002	165
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80
		Utility application filing fee	_____
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 0

**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	- 20** = _____	X _____	= _____
Independent Claims	- 3** = _____	X _____	= _____
Multiple Dependent		_____	= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity      Small Entity

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
1202	18	2202 9
1201	84	2201 42
1203	280	2203 140
1204	84	2204 42
1205	18	2205 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee	Fee	Fee	Fee	<u>Fee Description</u>	<u>Fee Paid</u>
Code	(\$)	Code	(\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	750	2810	375	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,300	1454	1,300	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 110.00**

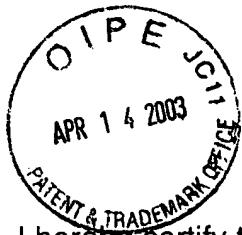
\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Michael J. Mallie

Signature:  Date: 4/7/03

Reg. Number: 36,591 Telephone Number: (408) 720-8300



## FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on April 7, 2003

Date of Deposit

Angela M. Quinn

Name of Person Mailing Correspondence

J. M. Q.

Signature

4-7-03

Date

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Serial/Patent No.: 09/236,753

Filing/Issue Date: 1/25/99

Client: Ricoh Corporation

Title: COMPRESSION AND DECOMPRESSION WITH WAVELET STYLE  
BINARY STYLE INCLUDING QUANTIZATION BY DEVICE-DEPENDENT...

bstz File No.: 74451 P041X1D

Atty/Secty Initials: MJM/amq

Date Mailed: 4-7-03

Docket Due Date: 3/26/03

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (2 pgs.)  Express Mail No: \_\_\_\_\_  Check No. 50701  
 Appeal Brief (       pgs.) (in triplicate)  \_\_\_\_\_ Month(s) Extension of Time  Amt: 110.00  
 Application - Utility (       pgs., with cover and abstract)  Information Disclosure Statement & PTO-1449 (       pgs.)  Check No. \_\_\_\_\_  
 Application - Rule 1.53(b) Continuation (       pgs.)  Issue Fee Transmittal  Amt: \_\_\_\_\_  
 Application - Rule 1.53(b) Divisional (       pgs.)  Notice of Appeal  
 Application - Rule 1.53(b) CIP (       pgs.)  Petition for Extension of Time  
 Application - Rule 1.53(d) CPA Transmittal (       pgs.)  Petition for \_\_\_\_\_  
 Application - Design (       pgs.)  Postcard  
 Application - PCT (       pgs.)  Power of Attorney (       pgs.)  
 Application - Provisional (       pgs.)  Preliminary Amendment (       pgs.)  
 Assignment and Cover Sheet  Reply Brief (       pgs.)  
 Certificate of Mailing  Response to Notice of Missing Parts  
 Declaration & POA (       pgs.)  Small Entity Declaration for Indep. Inventor/Small Business  
 Disclosure Docs & Orig & Copy of Inventor's Signed Letter (       pgs.)  Transmittal Letter, in duplicate  
 Drawings:        # of sheets includes        figures  Fee Transmittal, in duplicate  
 Other: \_\_\_\_\_